



**Chronic Obstructive  
Pulmonary Disease Care  
Recognition  
Clinician Assessment Guide**

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## **Introduction**

Bridges to Excellence (BTE) is excited to offer this opportunity for clinicians to pilot its automated EMR/Registry performance assessment system. The BTE EMR/Registry performance assessment system allows for rapid and independent medical record-based clinician performance evaluations by connecting local and national medical record data sources to a network of performance assessment organizations. BTE's goals are to: reduce the reporting burden for clinicians; leverage existing reporting/data aggregation initiatives; reduce data collection and reporting costs; facilitate the connection between quality improvement and incentives; and speed up cycle times between reporting, improvement and reporting. Clinicians who meet BTE performance thresholds may be eligible for BTE incentives through participating health plans, employers and coalitions.

BTE is partnering with two Performance Assessment Organizations (PAOs) to implement its automated EMR/Registry performance assessment system: Minnesota Community Measurement (MNCM) and IPRO.

**MN Community Measurement (MNCM)** was formed in 2002 by several local health plans as a collaborative to collect performance data. By aggregating health plan claims data and collecting clinical information from physician offices, MNCM publicly reports physicians' performance results in Minnesota. MNCM's goals include improving care and supporting the quality initiatives of providers, reducing reporting-related expenses for medical groups, health plans, and regulators through more efficient and effective regulation, and communicating findings in a fair, usable and reliable way to medical groups, regulators, purchasers and consumers.

**IPRO** is one of the nation's largest and most experienced not-for-profit quality assessment and improvement organizations. IPRO's mission is to improve the quality and value of health care services, and does so by supporting the development and implementation of performance measures; increasing the capacity of providers and government agencies for performance improvement; and fostering an environment through transparency and payment reform efforts, that rewards high-quality, high-value care. With 400 staff, IPRO performs work in over 30 states, serving federal, state and local government, and private clients.

## Overview

Bridges to Excellence is a not-for-profit organization developed by employers, physicians, health care services, researchers, and other industry experts with a mission to create significant leaps in the quality of care by recognizing and rewarding health care providers who demonstrate that they have implemented comprehensive solutions in the management of patients and deliver safe, timely, effective, efficient, equitable and patient-centered care.

The COPD Care Recognition Program is a BTE Clinician Recognition Program intended to identify clinicians who deliver high-value COPD care to adult patients. The program is designed with an understanding that adult patients may seek the care of various types of practitioners—primary care (PCPs), pulmonologists, allergy and immunologists, and others—for treatment and management of their COPD. Accordingly, the measures reflect that clinicians should do the following.

- Deliver high-quality care from the outset of patient contact
- Understand and consider previous treatment history to help avoid inappropriate treatment

The program comprises a set of measures, based on available clinical evidence, that promote a model of care that includes the following criteria.

- Comprehensive patient assessment and reassessment
- Patient education
- Shared decision making

BTE's COPD Care requirements assess clinical measures representing standards of care for patients with COPD. BTE believes that the COPD Care Recognition program has the potential to significantly improve the quality of care experienced by patients with COPD and to reduce the financial and human burden of unnecessary hospitalizations and complications.

To earn COPD Care Recognition, clinicians and medical practices voluntarily submit medical record data documenting their delivery of care to patients with COPD. BTE has partnered with two objective third-party independent Performance Assessment Organizations (PAOs) to evaluate clinician data based on standard measures to publicly recognize those that meet the BTE COPD Care performance thresholds. Those clinicians not meeting the BTE COPD Care performance thresholds remain anonymous to BTE and its health plan licensees. BTE's COPD Care Recognition Program has three performance thresholds.

## **Clinician Benefits of Recognition**

- Clinicians can demonstrate to the public and to their professional peers that they meet the standards of care assessed by the program by issuing a press release, as well as having their recognition achievements posted on BTE’s consumer portal, HealthGrades ([www.healthgrades.com](http://www.healthgrades.com)), and communicated to both health plans and employers.
- Clinicians may use the BTE Recognition to demonstrate that they meet the standards of care assessed by the program when contracting with health organizations and purchasers of health services.
- Clinicians can identify areas of their practice that vary from the performance criteria and take steps to improve quality of care.
- Where applicable, clinicians can establish eligibility for pay-for-performance bonuses or differential reimbursement or other incentives from payers and health plans.
- Clinicians who achieve COPD Care Recognition by submitting data through a CCHIT-certified<sup>1</sup> electronic health record or through an electronic health record certified to meet the federally-defined Meaningful Use criteria will also receive BTE Level II Physician Office Link (POL) recognition.

## **Background on the Measurement Criteria**

Eligible clinicians and medical practices voluntarily apply for BTE Recognition by submitting information on how they treat and manage their patients with regard to the following.

### ***Clinical measures<sup>2</sup>***

1. Lung Function/Spirometry Evaluation
2. Inhaled Bronchodilator Therapy
3. Smoking Cessation Advice and Treatment
4. Assessment of COPD Exacerbations
5. COPD Exacerbation Therapy
6. Assessment of Oxygen Saturation
7. Long-Term Oxygen Therapy
8. Pneumococcal Immunization
9. Influenza Immunization

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<sup>1</sup> The Certification Commission for Healthcare Information Technology or CCHIT is a recognized certification body for electronic health records and their networks, and an independent, voluntary, private-sector initiative, whose mission is to accelerate the adoption of health information technology by creating an efficient, credible and sustainable certification program. A list of CCHIT-certified products can be found at <http://cchit.org/>.

<sup>2</sup> *Clinical measures* evaluate performance based on care provided to a sample of individual patients and documented in the medical records of those patients. Clinical measures are scored based on the percentage of the sample (denominator) which meet or comply (numerator) with the measure threshold.

Clinicians who demonstrate high-quality performance based on these measures are awarded BTE COPD Care Recognition.

## **Recognition Program Structure**

Given the evidence in the literature advocating the creation of clinician quality programs that promote continuous quality improvement amongst its participants, the BTE Chronic Obstructive Pulmonary Disease Care Recognition Program is designed to include 3 levels or tiers of recognition. Assessment for recognition in all 3 tiers is based upon data submitted on the same COPD Care measures (listed above).

*Level I:* Focuses on a clinician-centric<sup>3</sup> view of measurement, looking at individual metrics summed to produce a composite score. Thresholds have been set to focus on above average performance.

*Level II:* Focuses on a combination of clinician and patient-centric<sup>4</sup> measurements. Level II includes the measurement of individual metrics summed to produce a composite score. Also looks at the defect rate of care delivery across pairs of measures on a per patient basis. Thresholds have been set to focus on very good performance.

*Level III:* Focuses on patient-centric view of measurement, looking at the defect rate of care delivery across pairs of discrete measures on a per patient basis. Clinicians must demonstrate that they are using advanced processes and delivering all the right care on a per patient basis. Thresholds have been set to focus on exceptional performance.

## **What Recognition Requires**

To seek BTE COPD Care Recognition, clinician applicants must submit medical record data that demonstrates they meet BTE's COPD Care performance requirements. Each measure has an assigned maximum available point value; the total of all the measures and standards is the same across all levels of recognition. A clinician achieves points for a measure based on the percentage of his or her patient sample that meets or exceeds the set thresholds for that measure.

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<sup>3</sup> Clinician-centric refers to performance assessment involving evaluation of clinician performance based upon discrete measures (e.g. Lung Function/Spirometry Evaluation), which is applied across the eligible patient panel. The results provide a picture of a clinician's performance on a given measures across his or her eligible patient panel. Since the process leads to clinician-focused results it is said to be "clinician-centric."

<sup>4</sup> Patient-centric refers to performance assessment involving evaluation of clinician performance based upon composite measures, created by combining 2 or more separate discrete measures into a single measure (e.g. combining Pneumococcal and Influenza Immunizations into 1 single measure), which is applied on a per patient basis. The results provide a picture of an individual patient's performance on a set of measures which make up the composite measure. Since the process leads to patient-focused results it is said to be "patient-centric."

Performance Assessment Organizations (PAOs) award recognition to clinicians who achieve at least:

*Level I:* 60% of the total possible points

*Level II:* 60% of the total possible points

*Level III:* 60% of the total possible points

### **Minimum Requirements**

To be eligible for recognition, clinicians must attain at least 60 percent of the total possible points. In the case of clinical measures, this means a minimum of 25 patients for the denominator of each measure for individual clinician applicants, and a minimum of 10 patients for the denominator of each measure for each individual clinician in a practice level applicant, with a minimum practice average of 25 patients per clinician.

Applicants must qualify for each level of recognition before they can be assessed for a subsequent level (e.g., must pass Level I to be assessed for Level II).

Tables 1, 2 and 3 show the program measures and the associated point values for scoring clinicians' performance.

**Table 1: COPD Care Level I Measures, Performance Criteria and Scoring**

Level I focuses on a clinician-centric view of measurement, looking at individual metrics summed to produce a composite score. Thresholds have been set to focus on above average performance.

| Clinical Measures   | Threshold | Minimum Criteria | Maximum Points |
|---|-----------|------------------|----------------|
| Lung Function/<br>Spirometry Evaluation                         | N/A       | N/A              | 10             |
| Inhaled Bronchodilator<br>Therapy <sup>5</sup>                  | N/A       | N/A              | 10             |
| Smoking Cessation Advice and<br>Treatment                       | N/A       | N/A              | 20             |
| Assessment of COPD<br>Exacerbations                             | N/A       | N/A              | 10             |
| COPD Exacerbation Therapy <sup>5</sup>                          | N/A       | N/A              | 10             |
| Assessment of Oxygen<br>Saturation <sup>5</sup>                 | N/A       | N/A              | 10             |
| Long-Term Oxygen Therapy <sup>5</sup>                           | N/A       | N/A              | 15             |
| Pneumococcal Immunization                                       | N/A       | N/A              | 5              |
| Influenza Immunization  | N/A       | N/A              | 10             |
| <b>Total Points</b>   |           |                  | 100            |
| <b>Percentage of Total Points Needed to Achieve Recognition</b> |           |                  | 60             |

<sup>5</sup> Measure is applicable to a subset of the eligible patient population only and requires a minimum of 25 eligible patients for the denominator subset. Applicants who do not meet this measure-specific patient minimum will not be scored on this measure, and the maximum points for the measure will be removed from the total possible points. 60 percent of the total possible points are needed to achieve recognition in these cases.

**Table 2: COPD Care Level II Measures, Performance Criteria and Scoring**

Level II focuses on a combination of clinician and patient-centric measurements. Level II includes the measurement of individual metrics summed to produce a composite score. Also looks at the defect rate of care delivery across pairs of measures on a per patient basis. Thresholds have been set to focus on very good performance.

| Clinical Measures   | Threshold | Minimum Criteria | Maximum Points |
|---|-----------|------------------|----------------|
| Lung Function/<br>Spirometry Evaluation                         | N/A       | N/A              | 20             |
| Inhaled Bronchodilator<br>Therapy <sup>5,6</sup>                | N/A       |                  |                |
| Smoking Cessation Advice and<br>Treatment                       | N/A       | N/A              | 20             |
| Assessment of COPD<br>Exacerbations                             | N/A       | N/A              | 20             |
| COPD Exacerbation Therapy <sup>5,6</sup>                        | N/A       |                  |                |
| Assessment of Oxygen<br>Saturation <sup>5,6</sup>               | N/A       | N/A              | 25             |
| Long-Term Oxygen Therapy <sup>5,6</sup>                         | N/A       |                  |                |
| Pneumococcal Immunization                                       | N/A       | N/A              | 5              |
| Influenza Immunization  | N/A       | N/A              | 10             |
| <b>Total Points</b>   |           |                  | 100            |
| <b>Percentage of Total Points Needed to Achieve Recognition</b> |           |                  | 60             |

<sup>5</sup> Measure is applicable to a subset of the eligible patient population only and requires a minimum of 25 eligible patients for the denominator subset. Applicants who do not meet this measure-specific patient minimum will not be scored on this measure, and the maximum points for the measure will be removed from the total possible points. 60 percent of the total possible points are needed to achieve recognition in these cases.

<sup>6</sup> When a measure with a denominator subset is included in a bundled or composite measure and the measure-specific patient minimum is not met for one of the two bundled measures, the maximum points for the discrete measure with the patient minimum not met (as allotted in Level I scoring) will be removed from the total possible points. Applicants will be scored on the remaining discrete measure for which the measure-specific patient minimum is met. The remaining discrete measure will be assigned the same number of maximum points it was allotted in Level I scoring. Maximum points assigned to discrete measures in Level I scoring are identified in Table 1: COPD Care Level I Measures, Performance Criteria and Scoring.

**Table 3: COPD Care Level III Measures, Performance Criteria and Scoring**

Level III focuses on patient-centric view of measurement, looking at the defect rate of care delivery across pairs of measures on a per patient basis. Clinicians must demonstrate that they are using advanced processes and delivering all the right care on a per patient basis. Thresholds have been set to focus on exceptional performance.

| Clinical Measures   | Threshold | Minimum Criteria | Maximum Points |
|---|-----------|------------------|----------------|
| Lung Function/<br>Spirometry Evaluation                         | N/A       | N/A              | 20             |
| Inhaled Bronchodilator<br>Therapy <sup>5,6</sup>                | N/A       |                  |                |
| Smoking Cessation Advice and<br>Treatment                       | N/A       | N/A              | 20             |
| Assessment of COPD<br>Exacerbations                             | N/A       | N/A              | 20             |
| COPD Exacerbation Therapy <sup>5,6</sup>                        | N/A       |                  |                |
| Assessment of Oxygen<br>Saturation <sup>5,6</sup>               | N/A       | N/A              | 25             |
| Long-Term Oxygen Therapy <sup>5,6</sup>                         | N/A       |                  |                |
| Pneumococcal Immunization                                       | N/A       | N/A              | 15             |
| Influenza Immunization  | N/A       |                  |                |
| <b>Total Points</b>   |           |                  | 100            |
| <b>Percentage of Total Points Needed to Achieve Recognition</b> |           |                  | 60             |

For a sample clinician scoring report, see Appendix.

<sup>5</sup> Measure is applicable to a subset of the eligible patient population only and requires a minimum of 25 eligible patients for the denominator subset. Applicants who do not meet this measure-specific patient minimum will not be scored on this measure, and the maximum points for the measure will be removed from the total possible points. 60 percent of the total possible points are needed to achieve recognition in these cases.

<sup>6</sup> When a measure with a denominator subset is included in a bundled or composite measure and the measure-specific patient minimum is not met for one of the two bundled measures, the maximum points for the discrete measure with the patient minimum not met (as allotted in Level I scoring) will be removed from the total possible points. Applicants will be scored on the remaining discrete measure for which the measure-specific patient minimum is met. The remaining discrete measure will be assigned the same number of maximum points it was allotted in Level I scoring. Maximum points assigned to discrete measures in Level I scoring are identified in Table 1: COPD Care Level I Measures, Performance Criteria and Scoring.

## **Eligibility for Clinician Participation**

Clinicians may apply for BTE COPD Care Recognition as individuals or part of a medical practice. To be eligible, applicants must meet the following criteria.

- Applicants must be licensed as a medical doctor (M.D. or D.O.), nurse practitioner (N.P.), or physician assistant (P.A.).
- Applicants must provide continuing care for patients with COPD and be able to meet the minimum patient sample sizes.
- Applicants must complete all application materials and agree to the terms of the program by executing a data use agreement and authorization with a data aggregator partner.
- Applicants must submit the required data documenting their delivery of care for all eligible patients in their full patient panel.
- Applicants must use PAO-supplied or approved methods for submitting data electronically.

### ***Individual clinician applicant***

An individual clinician applicant represents one licensed clinician practicing in any setting who provides continuing care for patients with COPD<sup>7</sup>.

### ***Medical practice applicant***

A medical practice applicant represents any practice with three or more licensed clinicians who, by formal arrangement, share responsibility for a common panel of patients and practice at the same site, defined as a physical location or street address. For purposes of this assessment process practices of two clinicians or less must apply as individual applicants.

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<sup>7</sup> **COPD patients** are 18-75 years of age, with a documented diagnosis of COPD (as defined by criteria labeled “Patient Eligibility Criteria”) for at least 12 months AND have been under the care of the applicant clinician or practice for at least 12 months. This is defined by documentation of two face-to-face visits for COPD care between the clinician and the patient: one within 12 months of the last day of the reporting period and one that predates the last day of the reporting period by at least 12 months.

## **Applying for Recognition**

Clinician applicants opt to voluntarily submit their data to a PAO for performance assessment through the COPD Care Recognition program. Participating clinicians must execute a data use agreement with the data aggregator partner through which they plan to submit data for BTE's automated performance assessment process. All data aggregator partners have data use agreements executed with their partnering PAO. All necessary steps will be taken by the data aggregator and PAO to protect the confidentiality of patient data, as required by The Health Insurance Portability and Accountability Act of 1996 (HIPAA). To assist with clinician compliance with HIPAA, the data aggregator partner provides a Business Associate addendum referenced in the data use agreement, which states that both the data aggregator and the clinician applicant will comply with HIPAA requirements.

Clinicians considering applying for recognition should:

1. Determine eligibility. See "Eligibility for Clinician Participation" on page 10 for more information.
2. Familiarize themselves with the BTE COPD care measures and specifications. See "What Recognition Requires" on page 5.
3. Determine whether to apply as an individual clinician or medical practice.

Clinicians submitting through a data aggregator partner are required to submit medical record data for all eligible patients across their full patient population on a quarterly calendar schedule. Clinicians are required to continue submitting data for all eligible patients each quarter unless they cease using the data aggregator's electronic system.

Clinicians that are new to an electronic data aggregator partner's system, where the system is not yet fully integrated in the clinicians' office and patient records have not been backloaded, are required to prospectively enter all eligible patients from their full patient panel into the data aggregator's electronic system. For individual applicants, clinician assessment will automatically be triggered after all required data is submitted through the data aggregator's electronic system for the minimum requirement of 25 eligible patients. For practice level applicants, assessment will automatically be triggered after all required data is submitted through the data aggregator's electronic system for 10 patients per individual clinician and a practice average of 25 patients per clinician. It is assumed that after one full year of usage of the data aggregator's electronic system that all eligible patients will be included.

Completed applications are processed for compliance with performance requirements, and applicant-specific reports with results for all COPD Care measures are produced within 30 days. The begin recognition date is calculated based on the date that the applicant's data is scored. BTE issues an official certificate to each recognized clinician or medical practice.

Additionally, BTE reserves the right to complete an audit of any individual or practice application for Recognition. PAOs or specified local organization subcontractors conduct audits of at least 5 percent of applicants from each data aggregator partner each year. COPD Care audits may be completed by fax, mail, electronically or on site, as determined by the PAO. Any data identified by the PAO as irregular will be subject to audit. The remainder of the 5 percent will be selected through a random sampling methodology.

The PAO will notify the data aggregator which will notify the applicant if their application is chosen for audit, ascertain that audit personnel have no conflict of interest with the audited organization and provide instructions on audit requirements. Obtaining final Recognition results takes longer than usual for applicants chosen for audit. For those applicants selected for audit, final Recognition determination will be made within 60 days of the date of data submission. Upon passing an audit, the applicant's recognition dates are assigned retroactively to the date the applicant's data was scored. Failure to pass an audit results in no further consideration for the COPD Care program for six months to two years (depending on the audit score) from the date of submission of the application.

### **Duration of Recognition**

For COPD Care Recognitions achieved on or before December 31, 2009, Recognition status remains in effect for **3 years** from the date on which a PAO awards recognition. Beginning January 1, 2010, the COPD Care Recognition duration will be shortened to **2 years** from the date on which a PAO awards recognition.

For continuously assessed applicants who maintain their current level of recognition, new begin and end recognition dates will be assigned at the time of the most recent assessment. Recognition determinations are made on the basis of a specific patient population. Recognition status remains in effect for the duration of recognition as long as the clinician maintains his or her current practice and patient base. Clinicians are responsible for informing the data aggregator within 30 days who will inform the PAO if they move or change practices.

### **Changes in Recognition Levels**

Continuous data submission applicants are eligible for changes in recognition level. Clinicians who achieve at least Level I COPD Care Recognition will maintain their COPD Care Recognition for the duration of recognition outlined above. However, during this time it is possible for the recognition status to move between program levels (I, II and III) based on changes in clinical data from quarter to quarter. Changes to program level and recognition dates occur according to the following rules:

- Clinicians who achieve a higher level of recognition for two consecutive assessment periods will have their recognition level changed effective the date of the most recent assessment.
- Clinicians recognized at Level II or III can drop in levels of recognition based on lower scoring results for two consecutive assessment periods.

- Each time a clinician’s recognition status changes levels in either direction a new begin recognition date is assigned for the date of the most recent assessment and a new end recognition date is calculated.
- Clinicians who drop below Level I for two consecutive quarterly assessments will be assigned or maintain Level I COPD Care Recognition status and maintain their current begin and end recognition dates.

*Example 1: Clinician A assessment history*

| <i>Assessment period</i> | <i>Assessment date</i> | <i>Assessed (Scored) Level<sup>8</sup></i> | <i>Recognition Level<sup>9</sup></i> | <i>Recognition Dates</i> |
|--------------------------|------------------------|--|--------------------------------------|--------------------------|
| 10/1/07-9/30/08          | 10/22/08               | Level III                                  | Level III                            | 10/22/08-10/22/2011      |
| 1/1/08-12/31/09          | 1/21/09                | Level III                                  | Level III                            | 1/21/09-1/21/2012        |
| 4/1/08-3/31/09           | 4/18/09                | Level III                                  | Level III                            | 4/18/09-4/18/2012        |
| 7/1/08-6/30/09           | 7/25/09                | Level II                                   | Level III                            | 4/18/09-4/18/2012        |
| 10/1/08-9/30/09          | 10/16/09               | Level II                                   | Level II                             | 10/16/09-10/16/2012      |

*Example 2: Clinician B assessment history*

| <i>Assessment period</i> | <i>Assessment date</i> | <i>Assessed (Scored) Level</i> | <i>Recognition Level</i> | <i>Recognition Dates</i> |
|--------------------------|------------------------|--------------------------------|--------------------------|--------------------------|
| 10/1/08-9/30/09          | 10/22/09               | Not Pass                       | N/A                      | N/A                      |
| 1/1/09-12/31/10          | 1/21/10                | Level II                       | N/A                      | N/A                      |
| 4/1/09-3/31/10           | 4/18/10                | Level II                       | Level II                 | 4/18/2010-4/18/2012      |
| 7/1/09-6/30/10           | 7/25/10                | Not Pass                       | Level II                 | 4/18/2010-4/18/2012      |
| 10/1/09-9/30/10          | 10/16/10               | Not Pass                       | Level I                  | 4/18/2010-4/18/2012      |

<sup>8</sup> A clinician’s Assessed Level is the BTE level at which the clinician’s data is scored for the current measurement period.

<sup>9</sup> A clinician’s Recognition Level is the BTE level at which the clinician is currently recognized and the level that is distributed to BTE’s health plan licensees and the BTE consumer portal at HealthGrades. A clinician’s Recognition Level may or may not be the same as a clinician’s Assessed Level.

## **Reporting Results to BTE and Its Partners**

As part of BTE’s mission to identify and promote quality, PAOs report results to the following:

- To the data aggregator partner through which the recognition application was submitted. The data aggregator is required to share results reports with the clinician applicant to facilitate quality improvement. See Appendix for a sample results report.
- To BTE: Only Recognized statuses are reported to BTE for display on BTE’s consumer portal for recognition information hosted by HealthGrades and transmission to BTE-licensed health plans for associated rewards payments. Once the final decision is made, the PAO will reveal the identity, program name and program level of the recognized clinicians only. No clinical data is shared with BTE at any point in the process.

## **Terms of Recognition**

When communicating with patients, third-party payers, managed care organizations (MCOs) and others, clinicians or practices who receive BTE COPD Care Recognition may represent themselves as BTE-recognized and meeting NQF/AQA quality measure requirements; however, clinicians or practices may not characterize themselves as “NQF/AQA-Approved” or “NQF/AQA-Endorsed.” The use of this mischaracterization or other similarly inappropriate statements will be grounds for revocation of status.

## **Revoking Recognition**

PAOs may revoke a Recognition decision if any of the following occurs:

- The clinician or practice submits false data or does not collect data according to the procedures outlined in this manual, as determined by discussion with the clinician or practice or audit of application data and materials.
- The clinician or practice misrepresents the credentials of any of its clinicians.
- The clinician or practice misrepresents its Recognition status.
- The clinician or any of the practice's clinicians experience a suspension or revocation of medical licensure.
- The clinician or practice has been placed in receivership or rehabilitation and is being liquidated.
- State, federal or other duly authorized regulatory or judicial action restricts or limits the clinician or practice’s operations.
- BTE identifies a significant threat to patient safety or care.

## **Data Use Terms**

Data use terms are outlined in the data use agreement that the applicant signs with the selected data aggregator partner.

## Appendix: Sample Results Report

### COLOR KEY

Light Orange = Those values which are numerator compliant for each of the process measures

### BTE COPD Care Recognition Sample Data Set Calculation

| Clinical Measures | <u>Spirometry Evaluation</u> | <u>Inhaled bronchodilator therapy</u> | <u>Smoking Cessation, Advice &amp; Treatment</u> | <u>COPD Exacerbations</u> | <u>COPD Exacerbation Therapy</u> | <u>O2 sat Assess</u> | <u>O2 Therapy</u> | <u>Pneumo Vaccine</u> | <u>Flu Vaccine</u> |
|-------------------|------------------------------|---------------------------------------|--|---------------------------|----------------------------------|----------------------|-------------------|-----------------------|--------------------|
| Patient 1         | YES                          | NO                                    | NO   | YES                       | YES                              | YES                  | NO                | YES                   | YES                |
| Patient 2         | NO                           | YES                                   | YES  | NO                        | YES                              | NO                   | NO                | NO                    | YES                |
| Patient 3         | YES                          | YES                                   | YES  | YES                       | NO                               | YES                  | YES               | YES                   | YES                |
| Patient 4         | YES                          | NO                                    | YES  | YES                       | NO                               | YES                  | YES               | YES                   | YES                |
| Patient 5         | NO                           | YES                                   | YES  | NO                        | YES                              | YES                  | YES               | NO                    | YES                |
| Patient 6         | YES                          | YES                                   | YES  | YES                       | NO                               | YES                  | NO                | YES                   | NO                 |
| Patient 7         | YES                          | YES                                   | NO   | YES                       | YES                              | YES                  | YES               | YES                   | YES                |
| Patient 8         | NO                           | NO                                    | YES  | NO                        | YES                              | NO                   | YES               | YES                   | YES                |
| Patient 9         | YES                          | NO                                    | YES  | YES                       | NO                               | YES                  | NO                | YES                   | YES                |
| Patient 10        | YES                          | NO                                    | YES  | YES                       | YES                              | YES                  | NO                | YES                   | YES                |
| Patient 11        | YES                          | YES                                   | NO   | YES                       | YES                              | NO                   | NO                | YES                   | NO                 |
| Patient 12        | YES                          | YES                                   | YES  | YES                       | YES                              | YES                  | YES               | YES                   | YES                |
| Patient 13        | NO                           | YES                                   | YES  | NO                        | NO                               | YES                  | YES               | YES                   | NO                 |
| Patient 14        | YES                          | YES                                   | YES  | YES                       | YES                              | YES                  | YES               | YES                   | YES                |
| Patient 15        | YES                          | NO                                    | YES  | YES                       | YES                              | NO                   | YES               | NO                    | YES                |
| Patient 16        | YES                          | YES                                   | YES  | NO                        | NO                               | YES                  | YES               | YES                   | YES                |
| Patient 17        | YES                          | YES                                   | YES  | YES                       | NO                               | YES                  | YES               | YES                   | YES                |
| Patient 18        | YES                          | NO                                    | NO   | YES                       | YES                              | YES                  | YES               | YES                   | YES                |
| Patient 19        | NO                           | YES                                   | YES  | NO                        | YES                              | NO                   | YES               | YES                   | NO                 |
| Patient 20        | YES                          | YES                                   | YES  | YES                       | YES                              | YES                  | NO                | YES                   | NO                 |
| Patient 21        | YES                          | YES                                   | YES  | YES                       | NO                               | YES                  | YES               | YES                   | YES                |
| Patient 22        | YES                          | NO                                    | YES  | YES                       | YES                              | YES                  | YES               | YES                   | YES                |
| Patient 23        | YES                          | NO                                    | NO   | NO                        | YES                              | NO                   | YES               | NO                    | YES                |
| Patient 24        | YES                          | YES                                   | YES  | YES                       | NO                               | YES                  | NO                | YES                   | YES                |
| Patient 25        | YES                          | YES                                   | YES  | YES                       | YES                              | YES                  | NO                | YES                   | YES                |

Level I Recognition

**Clinical Measures**

Lung Function/Spirometry Evaluation  
 Inhaled Bronchodilator Therapy  
 Smoking Status and Cessation Advice & Tx  
 Assessment of COPD Exacerbations  
 COPD Exacerbation Therapy  
 Assessment of O2 saturation  
 Long-term O2 therapy  
 Pneumococcal Immunization  
 Influenza Immunization

**TOTAL POINTS**  
**PERCENTAGE OF TOTAL POINTS NEEDED TO**  
**ACHIEVE RECOGNITION**

| <b>Threshold</b> | <b>Minimum Criteria</b> | <b>Sample Meeting Threshold</b> | <b>Maximum Available Points</b> | <b>Points Earned</b> |
|------------------|-------------------------|---------------------------------|---------------------------------|----------------------|
| N/A              | N/A                     | 20/25 = 80%                     | 10                              | <b>8.0</b>           |
| N/A              | N/A                     | 16/25 = 64%                     | 10                              | <b>6.4</b>           |
| N/A              | N/A                     | 20/25 = 80%                     | 20                              | <b>16.0</b>          |
| N/A              | N/A                     | 18/25 = 72%                     | 10                              | <b>7.2</b>           |
| N/A              | N/A                     | 16/25 = 64%                     | 10                              | <b>6.4</b>           |
| N/A              | N/A                     | 19/25 = 76%                     | 10                              | <b>7.6</b>           |
| N/A              | N/A                     | 16/25 = 64%                     | 15                              | <b>9.6</b>           |
| N/A              | N/A                     | 23/25 = 92%                     | 5                               | <b>4.6</b>           |
| N/A              | N/A                     | 20/25 = 80%                     | 10                              | <b>8.0</b>           |
|                  |                         |                                 | <b>100</b>                      | <b>72.2</b>          |
|                  |                         |                                 | <b>60</b>                       | <b>60</b>            |

Level II Recognition

**Clinical Measures**

Lung Function/Spirometry Evaluation  
 Inhaled Bronchodilator Therapy  
 Smoking Status and Cessation Advice & Tx  
 Assessment of COPD Exacerbations  
 COPD Exacerbation Therapy  
 Assessment of O2 saturation  
 Long-term O2 therapy  
 Pneumococcal Immunization  
 Influenza Immunization

**TOTAL POINTS**  
**PERCENTAGE OF TOTAL POINTS NEEDED TO**  
**ACHIEVE RECOGNITION**

| <b>Threshold</b> | <b>Minimum Criteria</b> | <b>Sample Meeting Threshold</b> | <b>Maximum Available Points</b> | <b>Points Earned</b> |
|------------------|-------------------------|---------------------------------|---------------------------------|----------------------|
| N/A              |                         |                                 |                                 |                      |
| N/A              | N/A                     | 80% x 64% = 51.2%               | 20                              | <b>10.24</b>         |
| N/A              | N/A                     | 20/25 = 80%                     | 20                              | <b>16.0</b>          |
| N/A              |                         |                                 |                                 |                      |
| N/A              | N/A                     | 72% x 64% = 46.1%               | 20                              | <b>9.22</b>          |
| N/A              |                         |                                 |                                 |                      |
| N/A              | N/A                     | 76% x 64% = 48.6%               | 25                              | <b>12.16</b>         |
| N/A              | N/A                     | 21/25 = 84%                     | 5                               | <b>4.6</b>           |
| N/A              | N/A                     | 17/25 = 68%                     | 10                              | <b>8.0</b>           |
|                  |                         |                                 |                                 |                      |
|                  |                         |                                 | <b>100</b>                      | <b>60.22</b>         |
|                  |                         |                                 | <b>60</b>                       | <b>60</b>            |

Level III Recognition

**Clinical Measures**

Lung Function/Spirometry Evaluation  
 Inhaled Bronchodilator Therapy  
 Smoking Status and Cessation Advice & Tx  
 Assessment of COPD Exacerbations  
 COPD Exacerbation Therapy  
 Assessment of O2 saturation  
 Long-term O2 therapy  
 Pneumococcal Immunization  
 Influenza Immunization

**TOTAL POINTS**  
**PERCENTAGE OF TOTAL POINTS NEEDED TO**  
**ACHIEVE RECOGNITION**

| <b><u>Threshold</u></b> | <b><u>Minimum Criteria</u></b> | <b><u>Sample Meeting Threshold</u></b> | <b><u>Maximum Available Points</u></b> | <b><u>Points Earned</u></b> |
|-------------------------|--------------------------------|--|--|-----------------------------|
| N/A                     |                                |  |  |                             |
| N/A                     | N/A                            | 80% x 64% = 51.2%                      | 20                                     | <b>10.24</b>                |
| N/A                     | N/A                            | 20/25 = 80%                            | 20                                     | <b>16.0</b>                 |
| N/A                     |                                |  |  |                             |
| N/A                     | N/A                            | 72% x 64% = 46.1%                      | 20                                     | <b>9.22</b>                 |
| N/A                     |                                |  |  |                             |
| N/A                     | N/A                            | 76% x 64% = 48.6%                      | 25                                     | <b>12.16</b>                |
| N/A                     |                                |  |  |                             |
| N/A                     | N/A                            | 84% x 68% = 57.1%                      | 15                                     | <b>8.57</b>                 |
|                         |                                |  |  |                             |
|                         |                                |  | <b>100</b>                             | <b>56.19</b>                |
|                         |                                |  | <b>60</b>                              | <b>60</b>                   |